

### 3. Decidability and Complexity

The membership problem, also called word problem, for regular languages is

WORDREG:

Given: An NFA  $\mathcal{A}$  over  $\Sigma$  and  $w \in \Sigma^*$ .

Question: Does  $w \in L(\mathcal{A})$  hold?

- In general, a decision problem is a set  $P \subseteq S$ .

Here,

$$\text{WORDREG} \subseteq \text{NFAs} \times \Sigma^*$$

with  $(\mathcal{A}, w) \in \text{WORDREG}$  iff  $w \in L(\mathcal{A})$ .

- The problem (the set)  $P$  is decidable, if the characteristic function

$$\chi_P : S \rightarrow \{0, 1\}$$

is computable.

Recall that for every set  $P \subseteq S$ , we have (this is the definition)

$$\chi_P(x) = 1 \quad \text{iff} \quad x \in P.$$

More on computability in the corresponding part of the lecture.

Theorem:

WORDREG can be solved in  $O(n \cdot |Q|^2)$  time.

Proof:

Idea: Do a powerset construction along the given word.

Return "yes", if a final state is in the last set of states.

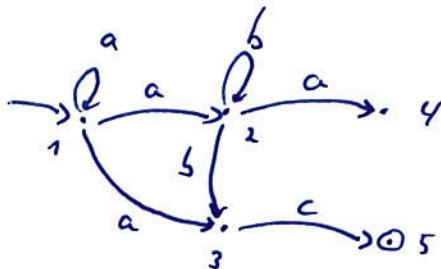
Complexity: We have  $|w|$  steps.

In each step, we have to check for at most  $|Q|$  states whether there is a transition to any of the  $|Q|$  states.  $\square$

Example:

$w = abc$

$\mathcal{A}$ :



$\{1\} \xrightarrow{a} \{1, 2, 3\} \xrightarrow{b} \{2, 3\} \xrightarrow{c} \{5\}$ .

Return "yes".

There are more problems of importance.

EMPTYREG:

Given:  $\mathcal{A}$  an NFA.

Question:  $L(\mathcal{A}) = \emptyset$ ?

UNIVERSALITYREG:

Given:  $\mathcal{A}$  an NFA over  $\Sigma$ .

Question:  $L(\mathcal{A}) = \Sigma^*$ ?

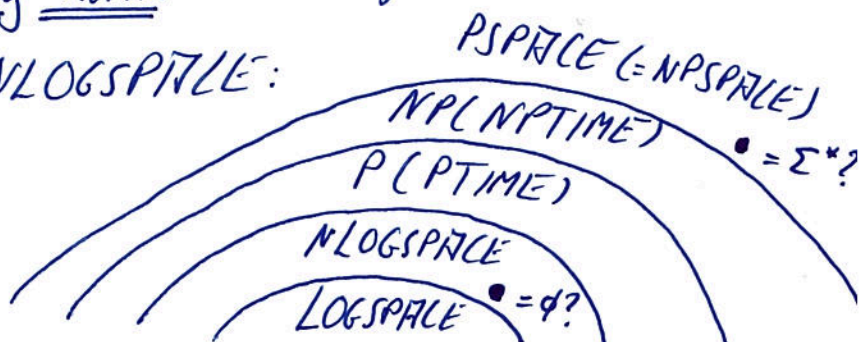
Remark:

The problems are intractable, but not efficiently so.

Universality is substantially harder than emptiness,

namely PSPACE vs. NLOGSPACE:

The two classes are known to be different.



## Theorem:

EMPTYREG can be solved in  $O(1 \rightarrow 1)$ .

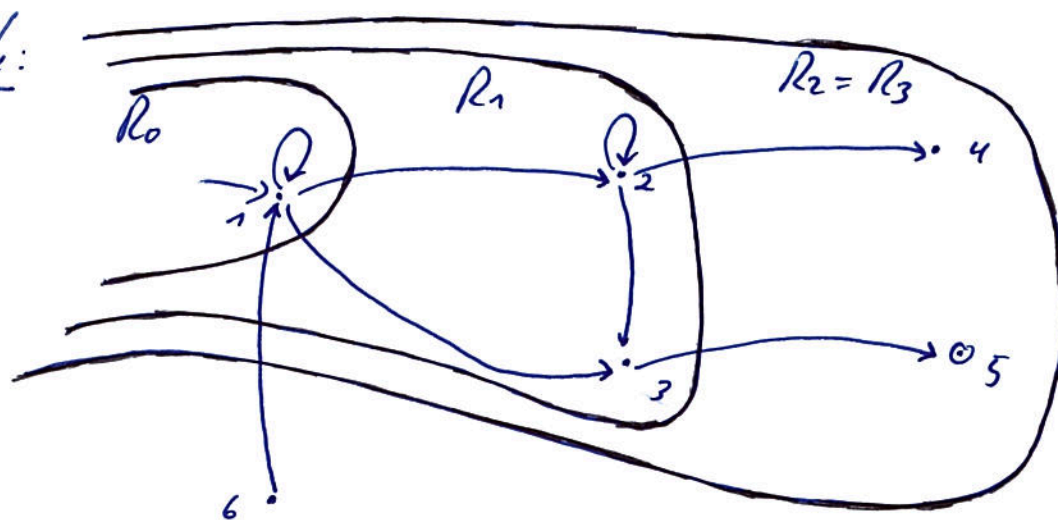
## Idea:

- Compute the ascending chain  
 $R_0 \subseteq R_1 \subseteq R_2 \subseteq \dots$   
of sets of those states  
that are reachable in  $\leq i$  steps.
- The computation stops when  $R_k = R_{k+1}$ .  
In this case, the fixed point has been reached:

$$\bigcup_{i \in \mathbb{N}} R_i = R_0 \cup R_1 \cup \dots \cup R_k \cup R_k \cup \dots = R_k.$$

More on fixed points in the semantics part of the lecture.

## Example:



Note that the alphabet does not matter.

Proof: Let  $A = (\Sigma, Q, q_0, \rightarrow, Q_f)$ .

Define  $R_0 := \{q_0\}$

$R_{i+1} := R_i \cup \{q' \in Q \mid \exists q \in R_i \exists a \in \Sigma : q \xrightarrow{a} q'\}$ .

Consider  $R_k = R_{k+1}$ . If  $R_k \cap Q_f \neq \emptyset$ , return  $L(A) \neq \emptyset$ ,  
otherwise return  $L(A) = \emptyset$ .



Complexity:

↳ The fixed point is reached after at most  $|Q|$  steps.

This gives complexity  $O(|Q| \cdot |I \rightarrow I|)$ .

↳ It is sufficient to consider every transition  $q \xrightarrow{a} q'$   
at most once.

This gives  $O(|I \rightarrow I|)$  as we claimed.  $\square$

Further decision problems on NFAs:

INTERSECTION REG: Given NFAs  $A, B$ , is  $L(A) \cap L(B) \neq \emptyset$ ?

EQUIVALENCE REG: Given NFAs  $A, B$ , is  $L(A) = L(B)$ ?

INCLUSION REG: Given NFAs  $A, B$ , is  $L(A) \subseteq L(B)$ ?