## On the Upward/Downward Closures of Petri Nets

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## Goal

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Study the upward and downward closures of Petri net coverability languages

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## Why?

Petri nets are an important model for concurrent systems
Upward and downward closures are useful approximations for verification purposes

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Upward/Downward closures in general
Good:
Always regular

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Upward/Downward closures in general
Good:
Always simply regular
Bad:
Representations might be not be effectively computable or very large

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Here:

## Closures effectively regular

Want to construct finite state automata (FSA) as representations

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## Closures effectively regular

Want to construct finite state automata (FSA) as representations
4 Time needed for the construction?
$\checkmark$ Size of the minimal FSAs?

## Petri Net Coverability Languages and their Closures

## (Labeled) Petri Nets



## (Labeled) Petri Nets



## (Labeled) Petri Nets



## (Labeled) Petri Nets



$M_{0}$

$M_{f}$

## (Labeled) Petri Nets



$M_{0}$

$$
\left(\begin{array}{c}
0 \\
0 \\
0 \\
0 \\
2^{3}
\end{array}\right)
$$

$M_{f}$

## (Labeled) Petri Nets


$\left(\begin{array}{l}1 \\ 0 \\ 0 \\ 0 \\ 0\end{array}\right) \quad\left[t_{0} t_{1} t_{1} t_{2} t_{2} t_{2} t_{2}\right\rangle$
$M_{0}$
$\left(\begin{array}{c}0 \\ 0 \\ 0 \\ 0 \\ 2^{3}\end{array}\right)$
$M_{f}$

## (Labeled) Petri Nets



$$
N=(\underbrace{\left\{p_{0}, p_{1}, p_{2}, p_{3}, p_{f}\right\}}_{\text {places } P}, \underbrace{\left\{t_{0}, t_{1}, t_{2}, t_{f}\right\}}_{\text {transitions } T}, F)
$$

$\left(\begin{array}{c}\left(\begin{array}{c}1 \\ 0 \\ 0 \\ 0 \\ 0\end{array}\right) \\ \| \\ M_{0}\end{array} \quad\left[t_{0} t_{1} t_{1} t_{2} t_{2} t_{2} t_{2} t_{f} t_{f} t_{f} t_{f} t_{f} t_{f} t_{f} t_{f}\right\rangle \quad\left(\begin{array}{c}0 \\ 0 \\ 0 \\ 0 \\ 2^{3}\end{array}\right)\right.$

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$$
\begin{gathered}
\left(\begin{array}{c}
1 \\
0 \\
0 \\
0 \\
0
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\| \\
M_{0}
\end{gathered} \underset{\left.t_{0} t_{1} t_{1} t_{2} t_{2} t_{2} t_{2} t_{f} t_{f} t_{f} t_{f} t_{f} t_{f} t_{f} t_{f}\right\rangle}{\left(\begin{array}{l}
0 \\
0 \\
0 \\
0 \\
8
\end{array}\right)}\left(\begin{array}{c}
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\end{array}\right)
$$

## (Labeled) Petri Nets



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## Coverability Language

$\left(N, M_{0}, M_{f}\right)$ Petri net with initial and final marking Coverability language

$$
\mathcal{L}\left(N, M_{0}, M_{f}\right)=\left\{\lambda(\sigma) \mid M_{0}[\sigma\rangle M, M \geqslant M_{f}\right\}
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In the example: $\quad \mathcal{L}\left(N, M_{0}, M_{f}\right)=\left\{a^{8}\right\}$

## Upward and Downward Closure

## Subword relation

$$
\begin{array}{lll}
v \preceq w & \text { iff } & v \text { obtained from } w \text { by deleting letters } \\
& \text { iff } & w \text { obtained from } v \text { by inserting letters }
\end{array}
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Upward closure

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In the example: $\quad \mathcal{L}\left(N, M_{0}, M_{f}\right) \uparrow=\left\{a^{k} \mid k \geqslant 8\right\}$

$$
\mathcal{L}\left(N, M_{0}, M_{f}\right) \downarrow=\left\{a^{k} \mid k \leqslant 8\right\}
$$

Computing the Upward Closure

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Given: Petri net ( $N, M_{0}, M_{f}$ ).
Compute: FSA A with $\mathcal{L}(A)=\mathcal{L}\left(N, M_{0}, M_{f}\right) \uparrow$.

## Computing the Upward Closure

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| :--- | :--- |
| Given: | Petri net $\left(N, M_{0}, M_{f}\right)$. |
| Compute: | FSA A with $\mathcal{L}(A)=\mathcal{L}\left(N, M_{0}, M_{f}\right) \uparrow$ |

## Theorem

Upper bound: One can compute an FSA of doubly
exponential size representing the upward closure in doubly exponential time.

Lower bound: This is optimal.

## Computing the Upward Closure - Upper Bound

Lemma (Upper Bound)
One can compute an FSA of doubly exponential size for the upward closure in doubly exponential time.

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Show $f(i+1) \leqslant\left(2^{n} f(i)\right)^{i+1}+f(i)$

## Computing the Upward Closure - Upper Bound

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f(i+1) \leqslant\left(2^{n} f(i)\right)^{i+1}+f(i)
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Take an arbitrary ( $i+1$ )-bounded, $(i+1)$-covering computation

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Take an arbitrary ( $i+1$ )-bounded, $(i+1)$-covering computation $1^{\text {st }}$ case: Values on all places $[1 . . i+1]$ bounded by $2^{n} \cdot f(i)$ :


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Identify repetitions


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Identify repetitions
Delete loops
L Obtain new computation of length at most $\left(2^{n} f(i)\right)^{i+1}$


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$1^{\text {st }}$ case: Deleting loops creates a subword $\checkmark$
$2^{\text {nd }}$ case: Replacing second part of the computation $x$
$\checkmark$ handle with care

## Computing the Upward Closure - Upper Bound

Finally:
The minimal words of $\mathcal{L}\left(N, M_{0}, M_{f}\right) \uparrow$ have a computation of length $\leqslant f(\ell) \leqslant 2^{2 \text { O(n. } \cdot \log n)}$.

## Computing the Upward Closure - Upper Bound

Finally:
The minimal words of $\mathcal{L}\left(N, M_{0}, M_{f}\right) \uparrow$ have a computation of length $\leqslant f(\ell) \leqslant 2^{2^{\mathcal{O}(n \cdot \log n)}}$.

FSA can simulate the net for $f(\ell)$ steps to accept them (and their upward-closure)

## Computing the Upward Closure - Lower Bound

Lemma (Lower Bound)
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cannot be represented by an FSA of less than doubly
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## (including zero tests!)

Using this idea, we construct for each $n \in \mathbb{N}$ a Petri net with

$$
\mathcal{L}\left(N(n), M_{0}, M_{f}\right)=\left\{a^{2^{2^{n}}}\right\}
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We obtain

$$
\mathcal{L}\left(N(n), M_{0}, M_{f}\right) \uparrow=\left\{a^{k} \mid k \geqslant 2^{2^{n}}\right\}
$$

Computing the Downward Closure

## Computing the Downward Closure

Computing the Downward Closure
Given: $\quad$ Petri net $\left(N, M_{0}, M_{f}\right)$.
Compute: $\quad$ FSA $A$ with $\mathcal{L}(A)=\mathcal{L}\left(N, M_{0}, M_{f}\right) \downarrow$.

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| Compute: | FSA A with $\mathcal{L}(A)=\mathcal{L}\left(N, M_{0}, M_{f}\right) \downarrow$. |

## Theorem

Upper bound: One can compute an FSA of non-primitive recursive size representing the downward closure (in non-primitive recursive time).

Lower bound: This is optimal.

## Computing the Downward Closure - Upper Bound

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## Proof Sketch.

## Computing the Downward Closure - Upper Bound

## Lemma (Upper Bound)

One can compute an FSA of non-primitive recursive size representing the downward closure.

## Proof Sketch.

The Karp-Miller tree (coverability graph) of the Petri net can be seen as finite automaton KMT

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The Karp-Miller tree (coverability graph) of the Petri net can be seen as finite automaton KMT

Its language is a subset of the downward closure, $\mathcal{L}\left(N, M_{0}, M_{f}\right) \subseteq \mathcal{L}(K M T) \subseteq \mathcal{L}\left(N, M_{0}, M_{f}\right) \downarrow$

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$\mathcal{L}(K M T) \downarrow=\mathcal{L}\left(N, M_{0}, M_{f}\right) \downarrow$

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$\mathcal{L}(K M T) \downarrow=\mathcal{L}\left(N, M_{0}, M_{f}\right) \downarrow$
Its size might be non-primitive recursive

## Computing the Downward Closure - Lower Bound

## Lemma (Lower Bound)

There is a family of Petri nets such that the downward closure cannot be represented by an FSA of primitive recursive size.

## Computing the Downward Closure - Lower Bound

## Lemma (Lower Bound)

There is a family of Petri nets such that the downward closure cannot be represented by an FSA of primitive recursive size. Inductive construction from [Mayr, Meyer 1981], adapted to labeled Petri nets:

$$
\begin{aligned}
& \forall n, x \in \mathbb{N} \exists\left(N(n), M_{0}^{(x)}, M_{f}\right) \text { polynomial in }(n+x) \text { such that } \\
& \mathcal{L}\left(N(n), M_{0}^{(x)}, M_{f}\right)=\left\{a^{k} \mid k \leqslant \operatorname{Acker}(n, x)\right\}=\mathcal{L}\left(N(n), M_{0}^{(x)}, M_{f}\right) \downarrow
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## Computing the Downward Closure - Lower Bound

## Lemma (Lower Bound)

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Inductive construction from [Mayr, Meyer 1981], adapted to labeled Petri nets:
$\forall n, x \in \mathbb{N} \exists\left(N(n), M_{0}^{(x)}, M_{f}\right)$ polynomial in ( $n+x$ ) such that $\mathcal{L}\left(N(n), M_{0}^{(x)}, M_{f}\right)=\left\{a^{k} \mid k \leqslant \operatorname{Acker}(n, x)\right\}=\mathcal{L}\left(N(n), M_{0}^{(x)}, M_{f}\right) \downarrow$


## SRE in Downward Closure

## Simple Regular Expression

## Simple regular expression

$$
\begin{aligned}
\text { sre }:: & =p \text { । sre }+ \text { sre } \\
p::= & a \mid(a+\varepsilon) \text { । } \Gamma^{*} \text { । p.p } \\
& \text { where } \Gamma \subseteq \Sigma
\end{aligned}
$$

Known:
Downward and upward closures can be described by SREs

## SRE in Downward Closure

SRE in Downward Closure
Given: SRE sre, Petri net $\left(N, M_{0}, M_{f}\right)$.
Decide: $\quad \mathcal{L}(s r e) \subseteq \mathcal{L}\left(N, M_{0}, M_{f}\right) \downarrow$ ?

## SRE in Downward Closure

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## Theorem

SRE in Downward Closure is EXPSPACE-complete.

## SRE in Downward Closure - Upper Bound

Lemma (Upper Bound)
SRE in Downward Closure can be solved in EXPSPACE.

## SRE in Downward Closure - Upper Bound

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SRE is a choice among products

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Show inclusion for each product separately

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p::=a|(a+\varepsilon)| \Gamma^{*} \mid p . p
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Problem: Need to enforce that for each word in $\Gamma^{*}$, a covering computation exists

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## [Zetzsche 2015]: Downward closures computable iff a certain unboundedness problem decidable

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## Theorem (Demri 2013)

The Simultaneous Unboundedness Problem for Petri Nets is EXPSPACE-complete.

## SRE in Downward Closure - Upper Bound

[Zetzsche 2015]: Downward closures computable iff a certain unboundedness problem decidable

## Theorem (Demri 2013)

The Simultaneous Unboundedness Problem for Petri Nets is EXPSPACE-complete.

Simultaneous Unboundedness Problem for Petri Nets Given: Petri net $N$, marking $M_{0}$, set of places $X \subseteq P$ Decide: $\forall n \in \mathbb{N} \exists M_{0}[\sigma\rangle M$ with $M(p) \geqslant n \forall p \in X$ ?

## SRE in Downward Closure - Upper Bound

## Lemma (Upper Bound)

SRE in Downward Closure can be solved in EXPSPACE.
Handle each product $p$ separately

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For each expression $\Gamma^{*}$ in $p$, add a place that tracks
occurrence of all symbols in 「
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SRE in Downward Closure can be solved in EXPSPACE.
Handle each product p separately
For each expression $\Gamma^{*}$ in $p$, add a place that tracks
occurrence of all symbols in 「
(also track the rest of $p$ )
Check whether the places for the 「* are simultaneously
unbounded

## SRE in Downward Closure - Lower Bound

## Lemma (Lower Bound)

SRE in Downward Closure is EXPSPACE-hard.

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Coverability for (unlabeled) Petri nets is EXPSPACE-hard

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Coverability for (unlabeled) Petri nets is EXPSPACE-hard
Label all transitions by $\varepsilon$

## SRE in Downward Closure - Lower Bound

## Lemma (Lower Bound) <br> SRE in Downward Closure is EXPSPACE-hard. <br> Proof.

Coverability for (unlabeled) Petri nets is EXPSPACE-hard
Label all transitions by $\varepsilon$
Note: $\mathcal{L}\left(N, M_{0}, M_{f}\right)=\{\varepsilon\}$ iff $M_{f}$ coverable, $\emptyset$ else

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## Lemma (Lower Bound)

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## Proof.

Coverability for (unlabeled) Petri nets is EXPSPACE-hard
Label all transitions by $\varepsilon$
Note: $\mathcal{L}\left(N, M_{0}, M_{f}\right)=\{\varepsilon\}$ iff $M_{f}$ coverable, $\emptyset$ else $\mathcal{L}\left(\emptyset^{*}\right)=\{\varepsilon\} \subseteq \mathcal{L}\left(N, M_{0}, M_{f}\right) \downarrow$ iff $M_{f}$ coverable

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Given: SRE sre, Petri net $\left(N, M_{0}, M_{f}\right)$.
Decide: $\quad \mathcal{L}($ sre $) \subseteq \mathcal{L}\left(N, M_{0}, M_{f}\right) \uparrow$ ?

## SRE in Upward Closure

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Note:
Lower bound (EXPSPACE-hardness) as for SRE in Downward Closure

## SRE in Upward Closure - Upper Bound

## Lemma (Upper Bound)

SRE in Upward Closure can be solved in EXPSPACE.

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For each product, compute its minimal word:

$$
\begin{aligned}
\min (a) & =a & \min \left(p . p^{\prime}\right) & =\min (p) \cdot \min \left(p^{\prime}\right) \\
\min (a+\varepsilon) & =\varepsilon & \min \left(\Gamma^{*}\right) & =\varepsilon
\end{aligned}
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Check this using a coverability query in a modified net

## Being Downward/Upward closed

## Being DC/UC

Being Downward/Upward Closed
Given: Petri net $\left(N, M_{0}, M_{f}\right)$.
Decide: $\quad \mathcal{L}\left(N, M_{0}, M_{f}\right)=\mathcal{L}\left(N, M_{0}, M_{f}\right) \downarrow / \uparrow$ ?

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Being DC and Being UC are decidable.

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| :--- |
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$\mathcal{L} \subseteq \mathcal{L} \uparrow$ and $\mathcal{L} \subseteq \mathcal{L} \downarrow$ always hold

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$\mathcal{L} \uparrow$ and $\mathcal{L} \downarrow$ are effectively regular

## REG-IN-PNCOV

Regular lang. included in PN coverability lang.
Given: Petri net $\left(N, M_{0}, M_{f}\right)$, FSA A.
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Theorem (Jancar, Esparza, Moller 1999)
Given Petri net $\left(N, M_{0}\right)$ and FSA A.
$\mathcal{T}(A) \subseteq \mathcal{T}\left(N, M_{0}\right)$ is decidable.

## Reducing to Trace Inclusion

Theorem (Jancar, Esparza, Moller 1999)
Given Petri net $\left(N^{\prime}, M_{0}\right)$ and FSA B.
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\mathcal{T}\left(N^{\prime}, M_{0}\right)=\left\{w \mid M_{0}[\sigma\rangle M \text { for some } M \text { and } \sigma, \lambda(\sigma)=w\right\} .
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where a fresh letter
A.a reduced FSA for $\mathcal{L}(A)$. $a$
$N . a=N$ plus $a$-labeled transition $t_{f}$ consuming $M_{f}$

## BPP Nets

## Results

|  | Petri nets |
| :---: | :---: |
| Compute UC | Doubly exponential* |
| Compute DC | Non-prim. rec.* |
| SRE in DC | EXPSPACE-compl. |
| SRE in UC | EXPSPACE-compl. |
| Being DC/UC | Decidable |

* : Time for construction \& size of minimal FSA


## BPP Nets - Negative Example

In a BPP net, each transition consumes at most one token.


## BPP Nets - Positive Example

In a BPP net, each transition consumes at most one token.


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|  | Petri nets | BPP nets |
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|  |  |  |
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## Results

|  | Petri nets | BPP nets | Techniques for |  |
| :---: | :---: | :---: | :---: | :---: |
|  |  |  | upper bound | lower bound |
| Compute UC | Doubly exponential* | Exponential* $^{*}$ | Unfoldings | Initial ex. |
| Compute DC | Non-prim. rec.* | Exponential* | Unfoldings | Initial ex. |
| SRE in DC | EXPSPACE-compl. | NP-compl. | Presburger | Coverability |
| SRE in UC | EXPSPACE-compl. | NP-compl. | Coverability | Coverability |
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*: Time for construction \& size of minimal FSA

Thank you!

## Questions?

